



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Emiko Nishida, et al.
Serial No.: 09/891,054
Filing Date: June 25, 2001
Title: Method and Apparatus for
Forming an Optical Multilayer
Filter

Art Unit: 1711

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November 26, 2002
San Francisco, California

Commissioner of Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicants respectfully submit the following information disclosure statement.

The undersigned certifies that each of the following references was contained in a communication from the EPO in a counterpart foreign application not more than three months prior to the filing of this statement.

The disclosed references are:

C. Grèzes-Bessset, et al., "Synthesis and research of the optimum conditions for the optical monitoring of non-quarter-wave multilayers," *Applied Optics*, Optical Society of America, Washington, US, vol. 32, no. 28, Oct. 1, 1993, pages 5612-5618.

S. Callard, et al., "New method for *in situ* control of Bragg reflector fabrication," *Applied Physics Letters*, American Institute of Physics, New York, US, vol. 68, no. 17, April 22, 1996, pages 2335-2336.

M. Kildemo, et al., "A direct robust feedback method for growth control of optical coatings by multiwavelength ellipsometry," *Thin Solid Films*, Elsevier-Sequoia S.A. Lausanne, CH, vol. 313-314, Feb. 13, 1998, pages 484-489.



Information Disclosure Statement for U.S. Application No. 09/891,054

Applicants respectfully request that the Examiner initial the cited references shown on the enclosed form PTO-1449 and that the references be made of record in the present application.

Respectfully submitted,

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Enc. Cited references (3)
PTO Form 1449
Return receipt postcard

Certificate of Mailing Under 37 CFR 1.8

I certify that this Information Disclosure Statement and any enclosed materials are being deposited with the United States Postal Service on November 26, 2002 with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231.

Thomas A. Gallagher

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SHEET 1 OF 1

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET KPO108	S.N. 09/891,054
INFORMATION DISCLOSURE STATEMENT		APPLICANT Emiko Nishida, et al.	
FILING DATE June 25, 2001		GROUP 1711	

INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

		Grèzes-Besset, et al., "Synthesis and research of the optimum conditions for the optical monitoring of non-quarter-wave multilayers," <i>Applied Optics</i> , Optical Society of America, Wash. US, vol 32, no 28, 10/1/93, pp.5612-5618
		S. Callard, et al., "New method for in situ control of Bragg reflector fabrication," <i>Applied Physics Letters</i> , American Institute of Physics, New York, US, vol. 68, no. 17, April 22, 1996, pages 2335-2336
		M. Kildemo, et al., "A direct robust feedback method for growth control of optical coatings by multiwavelength ellipsometry," <i>Thin Solid Films</i> , Elsevier-Sequoia S.A. Lausanne, CH, vol. 313-314, Feb. 13, 1998, pages 484-489

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.